

# Recent Research and Perspectives on Lignocellulose Conversion into Ethanol

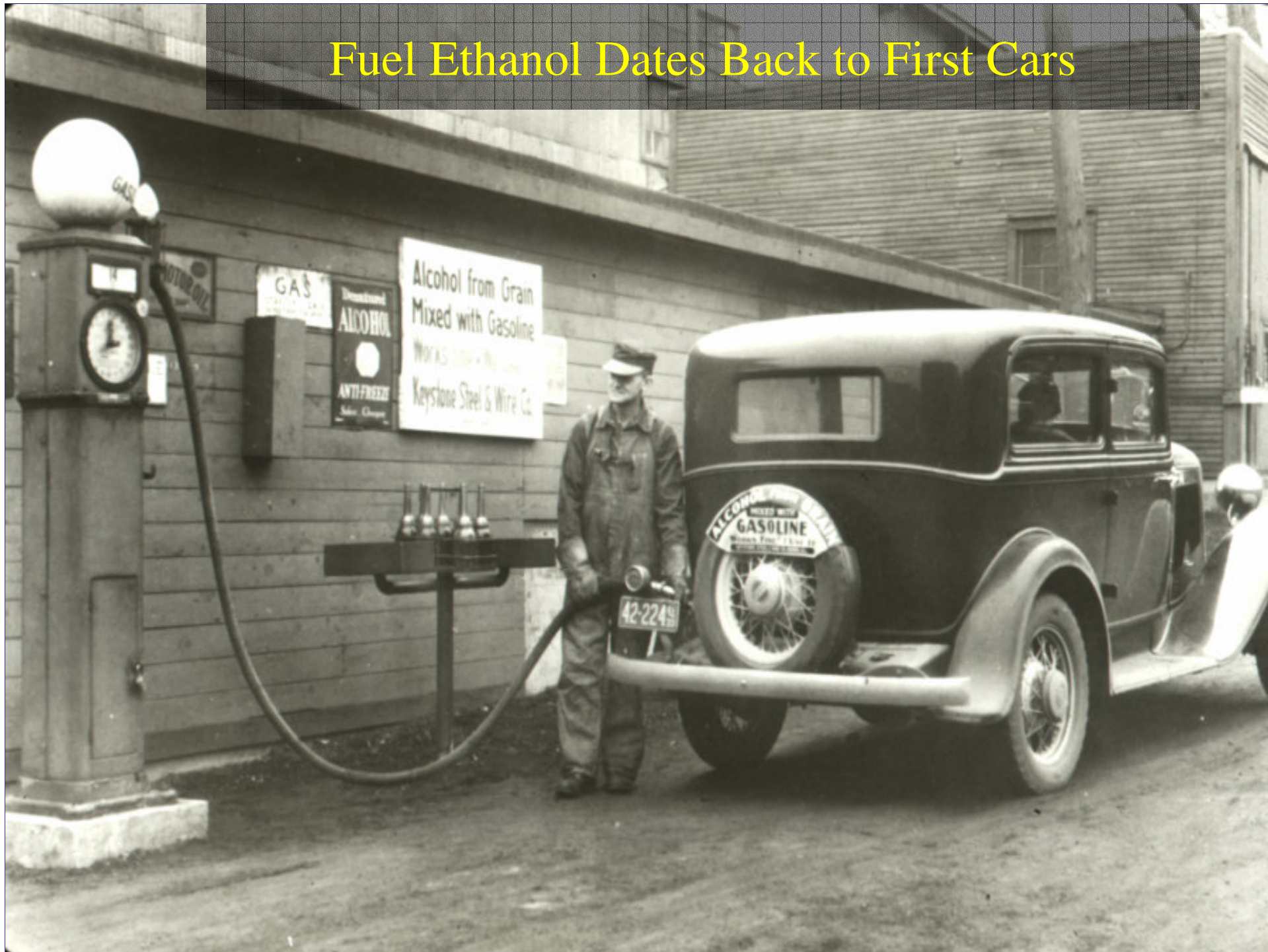
National Center for  
Agricultural Utilization  
Research  
MIDWEST AREA  
AGRICULTURAL RESEARCH SERVICE  
U.S. Department of Agriculture

USDA

Bruce Dien  
October 25, 2006



# Fuel Ethanol Dates Back to First Cars



# Some Milestones in Ethanol Production Research at NCAUR

- Patented process for corn cob conversion to ethanol and furfural
- Alkali Peroxide pretreatment agricultural biomass
- Pentose fermenting yeast for ethanol
- Characterization of enzymes for biomass hydrolysis
- Recombinant ethanol producing bacteria

# Benefits of Fuel Ethanol

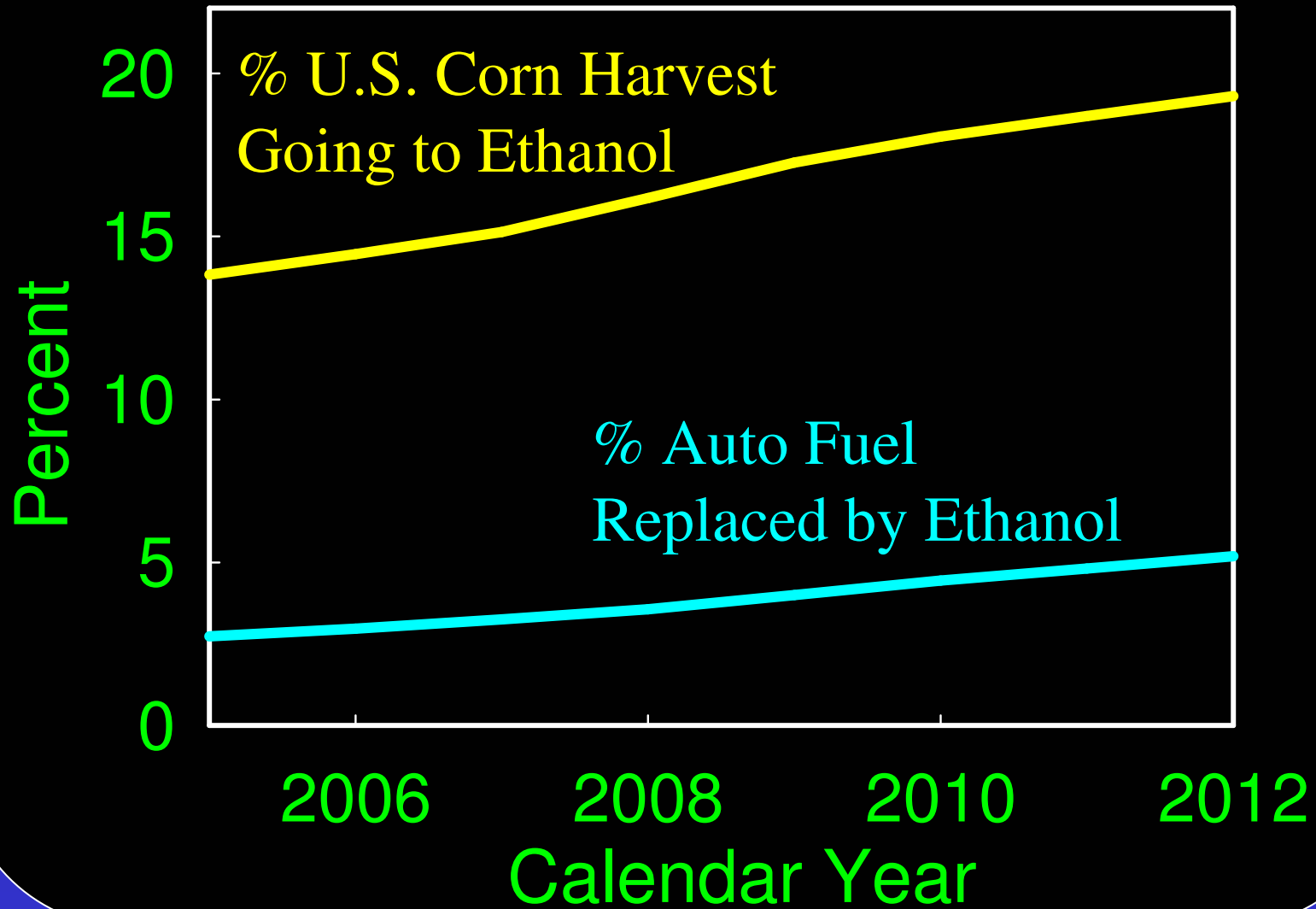
- Lowers dependence on imported oil (170 million barrels in 2005).
- Lowers pollutants and greenhouse gas emissions, including CO, CO<sub>2</sub>, and VOC.
- Is an environmentally friendly replacement for oxygenate MTBE.
- Creates a market for corn (13% of U.S. corn harvest in 2005).
- Increased farm income by \$4.5 billion and led to creation of 200,000 jobs (1994).

---

Annual Production: 3.9 B gal (2005)

Renewable Fuel Standard: 7.5 B gal (2012)

## Potential of corn to replace oil for U.S. market



(RFA & NCGA, 2006)

## Potential of lignocellulosic biomass to replace oil for U.S. market

<u>Feedstocks</u>	<u>Million dry ton per yr</u>	<u>Billion gal of ethanol per yr</u>
<i>Agricultural Land (selected)</i>		
<b>Corn Stover &amp; Wheat Straw</b>	<b>75</b>	<b>5.22</b>
<b>Corn Fiber &amp; DDGS</b>	<b>13</b>	<b>0.83</b>
<b>CRP Biomass</b>	<b>18</b>	<b>1.08</b>
<b>Perennial Crops</b>	<b>156</b>	<b>9.36</b>
<i>Forestlands (selected)</i>		
<b>Logging &amp; Processing Residues</b>	<b>134</b>	<b>8.04</b>
<b>Total:</b>	<b>4,907</b>	<b>24.4</b>

***This is 17% of our total oil needs.***

Notes: (1) 60 gal/ton ethanol yield; (2) source: [http://feedstockreview.ornl.gov/pdf/billion\\_ton\\_vision.pdf](http://feedstockreview.ornl.gov/pdf/billion_ton_vision.pdf)





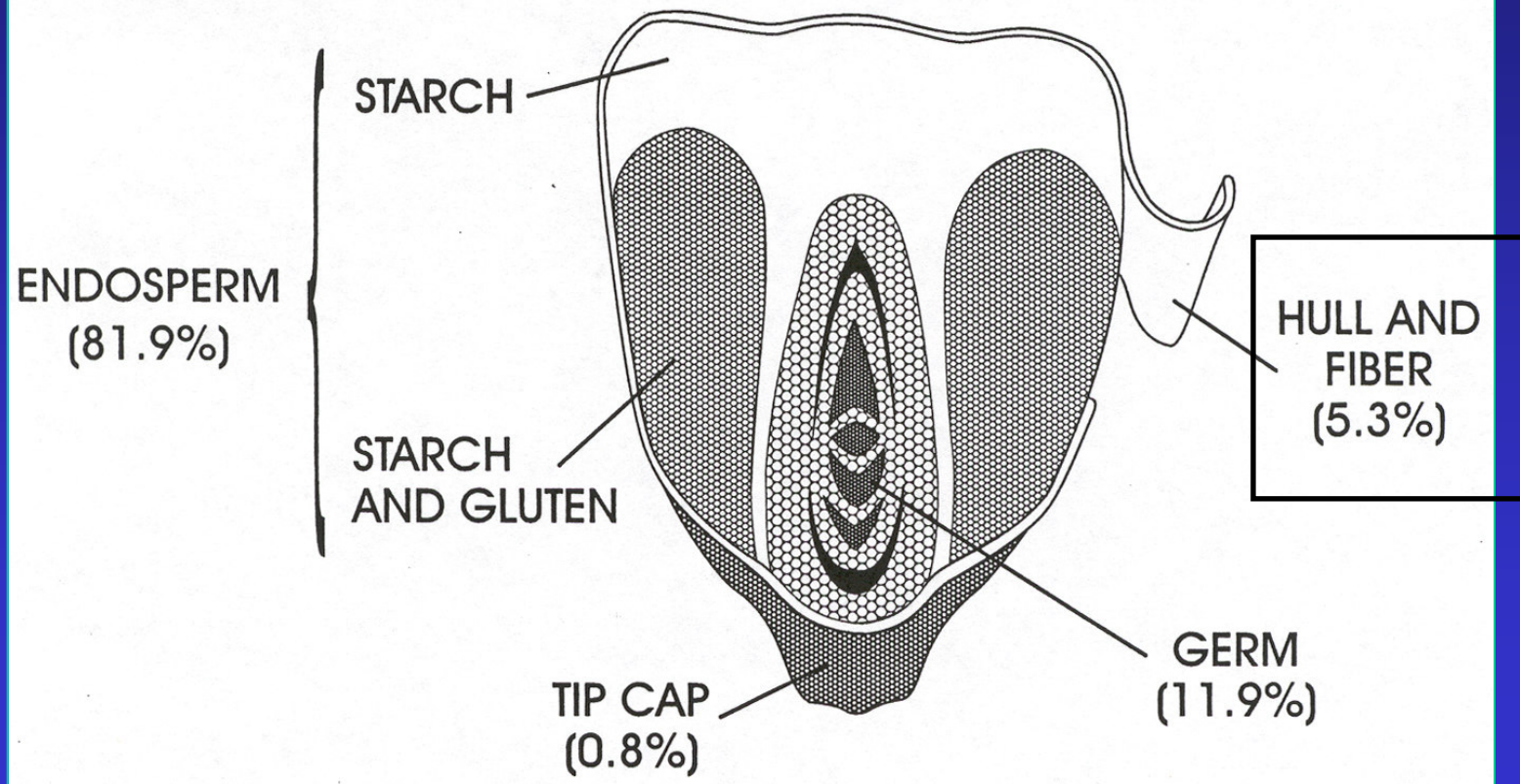
# Corn Fiber: lignocellulosic biomass conversion to ethanol.

- Dilute-acid pretreated corn fiber
- Buffered hot-water pretreated corn fiber



# Structure of corn kernel

## A KERNEL OF CORN





## Why Corn fiber?

- Could be a first step leading to other sources of lignocellulose
- Easy to digest and ferment because it contains no lignin
- Centrally located, so no collection fees
- Lower capital & labor costs, usually located at pre-existing ethanol fermentation facility

# Ethanol Yield from a Bushel of Corn

<b>Product</b>	<b>Ethanol Yield (gallons)</b>
<b>Starch (32 lbs)</b>	<b>2.5 - 2.7</b>
<b>Fiber* (4.5 lbs)</b>	<b>0.30</b>

**One bushel of corn weighs 56 lbs**

**One gallon of ethanol = 3.785 L = 6.58 lbs**

**\*contained in DDGS; ref. Gulati et al., 1996**

# Fibrous Biomass vs. Corn Compositions

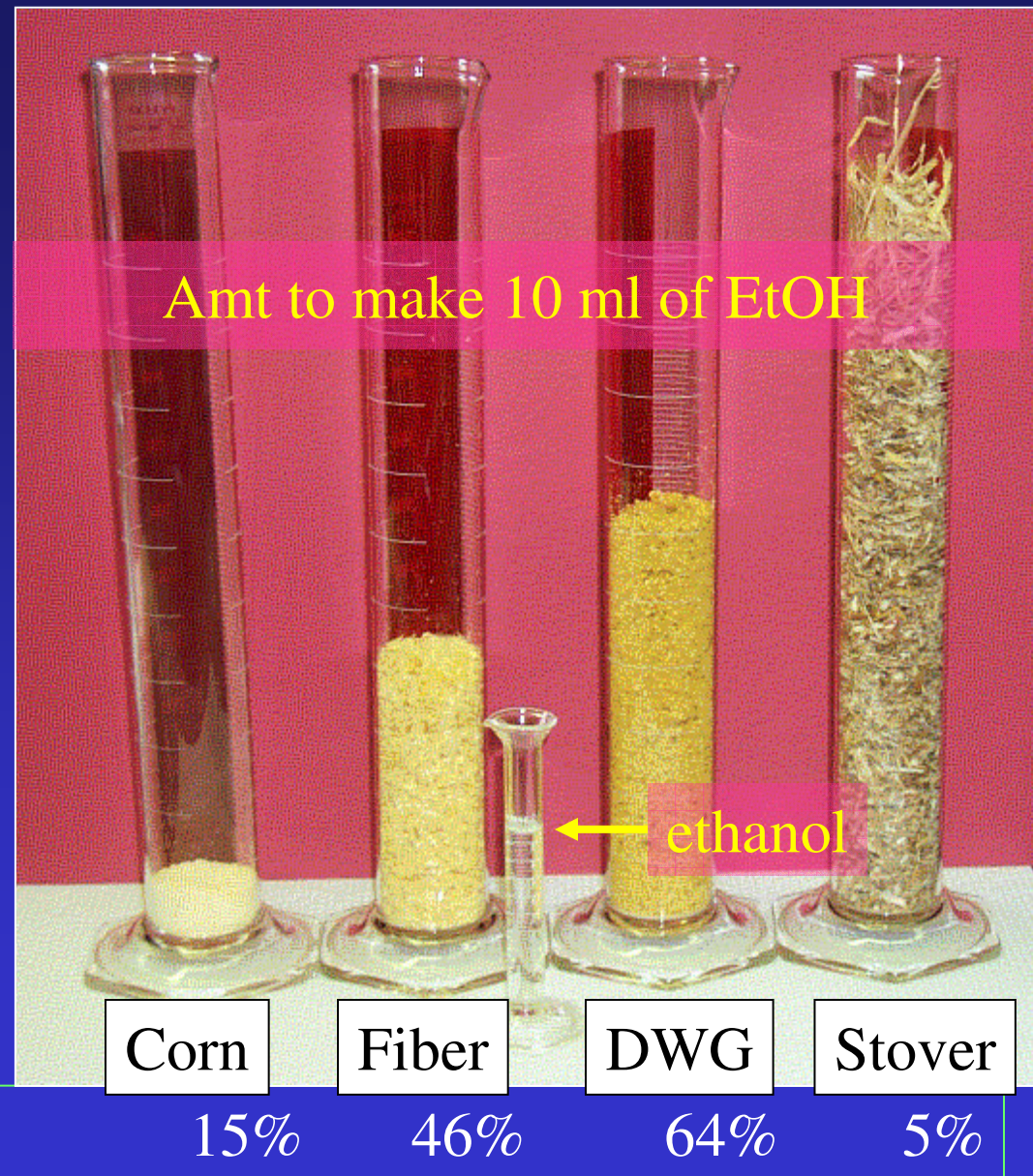
From:	Starch %w/w	Cellulose %w/w	Hemicellulose %w/w		Total %w/w
Sugar	<u>Glucose</u>	<u>Glucose</u>	<u>Arabinose</u>	<u>Xylose</u>	
Corn Fiber	20	14	11	18	70
DDG	3	27	9	12	51
Corn Stover	none	38	3	18	62
Corn	78	na	na	na	78

Data: corn fiber Grohmann and Bothast, 1997; corn stover Wiselogel et al., 1996)



## Challenges to processing fibrous biomass compared to grains

- ❖ High bulk mat'l (wood less so)
- ❖ 2-phase reactions ( $\beta$ -glucan insoluble for > 10 d.p.)
- ❖ Complex cell wall structure & lignin (e.g. storage vs. structural CHO's)
- ❖ Xylan related sugars not fermented by *Saccharomyces*



# Designing Process

- Select pretreatment
  - Hydrolyze hemicellulose
  - Prepare cellulose for enzymatic digestion
- Select Hydrolytic enzymes
  - Hemicellulases
  - Cellulases
- Select ethanol producing biocatalyst
  - *Saccharomyces* does not ferment xylose!

# Selected Pretreatment Strategies

Acid



Base

Pretreatment   Pentoses   Inhibitors

Strong Acid

+

++

Dilute Acid

+

++

Hot Water

-

+

AFEX

-

-

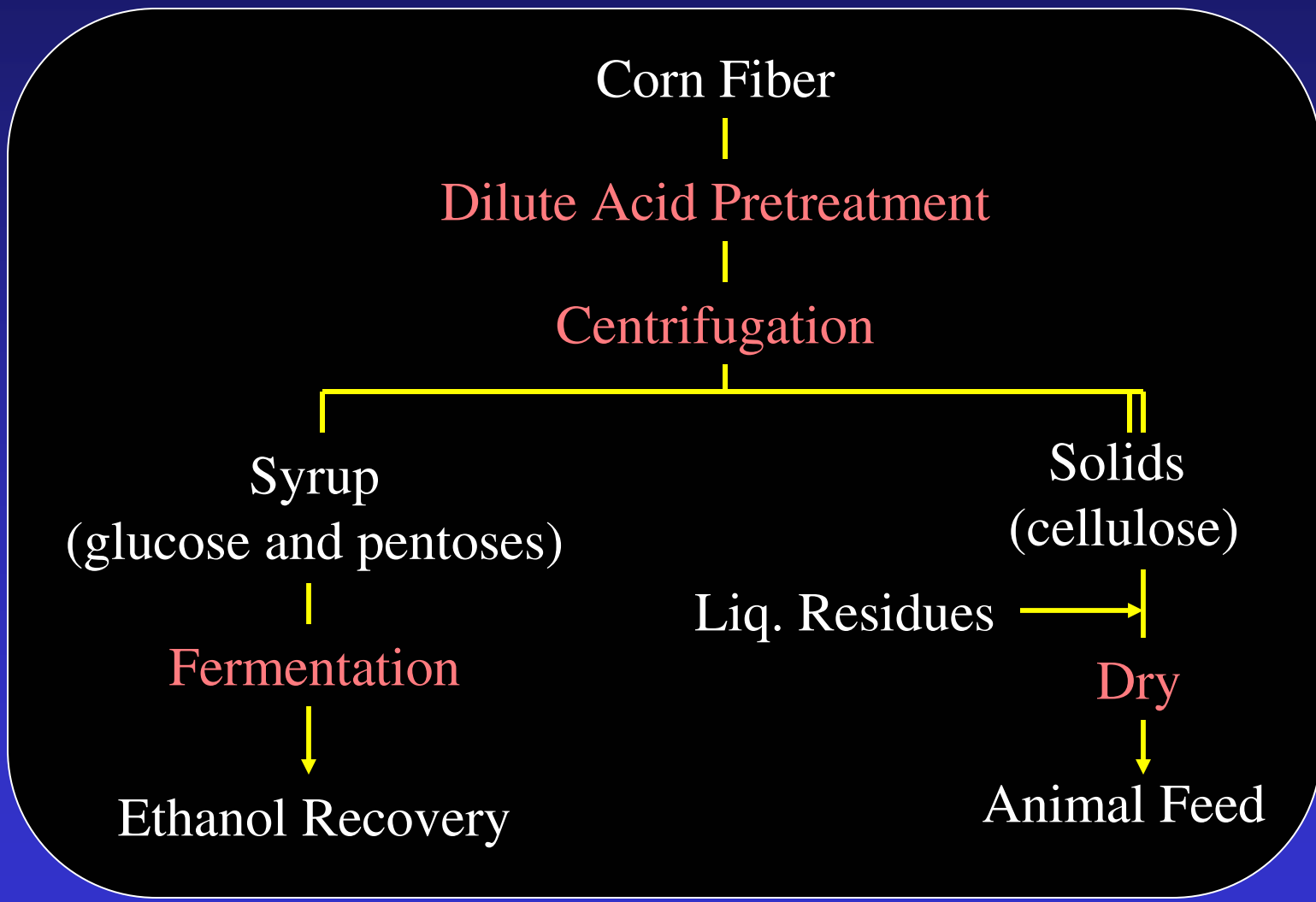
Alkaline  
Peroxide

-

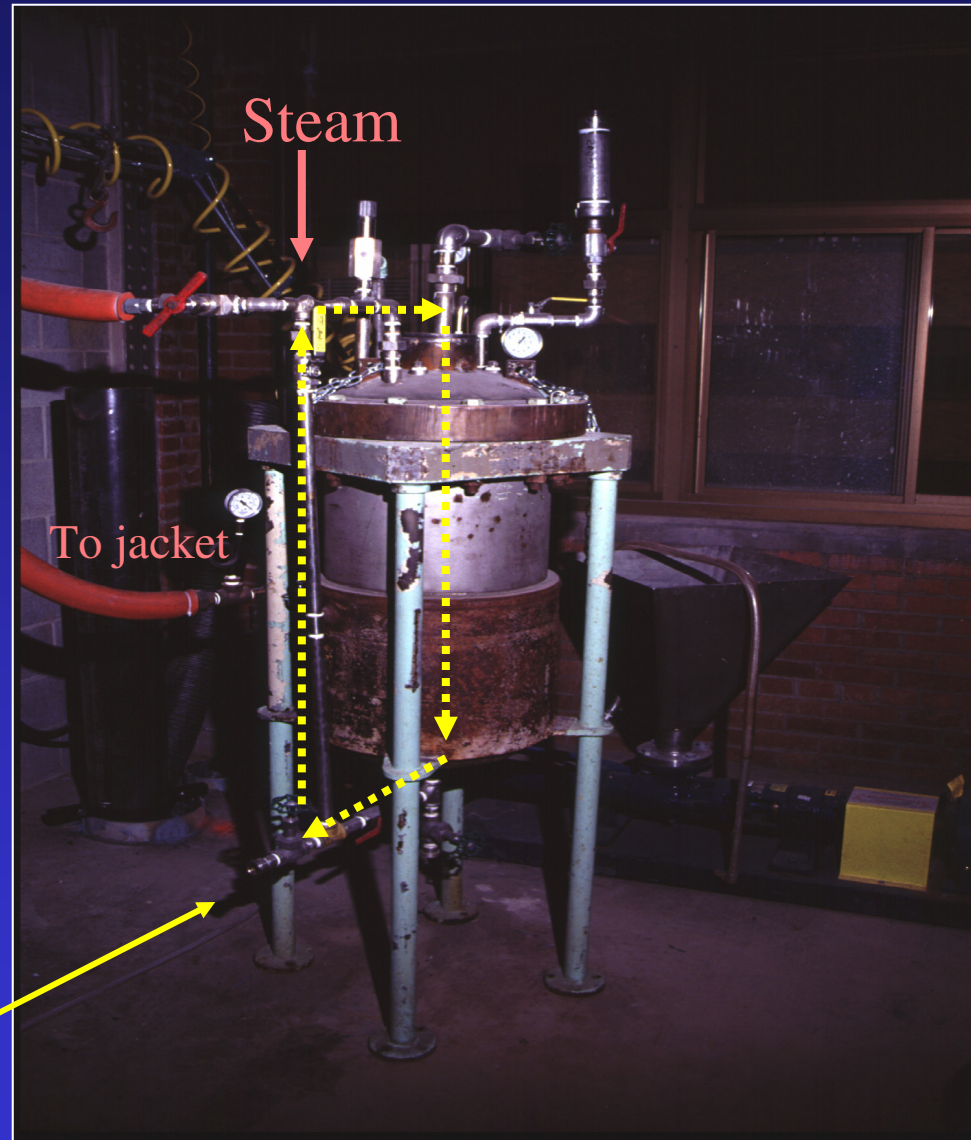
-



# Corn Fiber to Ethanol Process

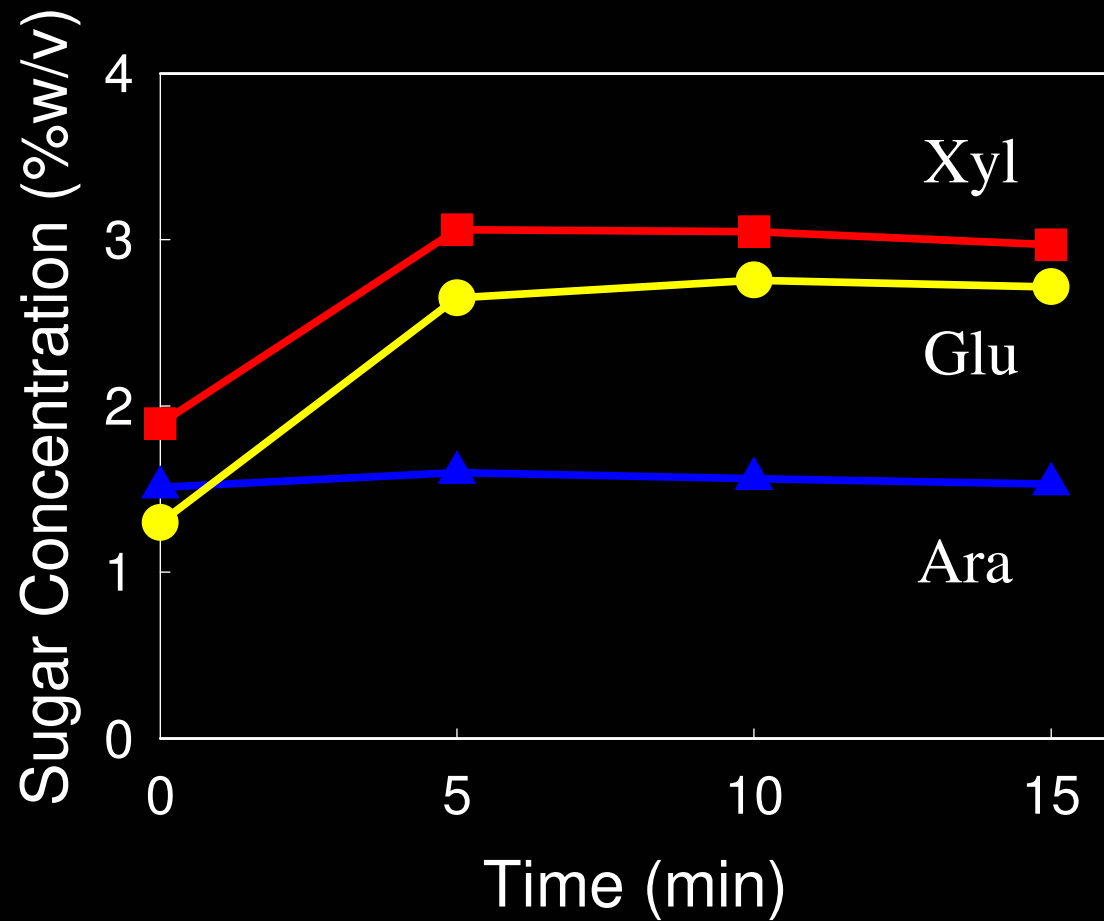


# Corn Fiber Reactor



Corn Fiber  
Slurry Enters  
Reactor

# Rate of Corn Fiber Hydrolysis





# Ethanol Producing Strains Capable of Fermenting Pentoses

## *Engineered to use pentoses*

- *Zymomonas mobilis*
- *Saccharomyces*

## *Engineered to make ethanol*

- *Escherichia coli*
- *Klebsiella oxytoca*

## *Natural microorganisms*

- Pentose fermenting yeast
- Thermophiles
- *Saccharomyces* + xylose isomerase

# Metabolic Engineering an ethanologenic bacterium

## I. *K12* converts glucose to mixed acids

Glucose → **wild-type *K12*** → Lactic Acid  
Ethanol  
Acetic Acid  
Formic

## II. Mutant does not ferment glucose (Dr. Clark, SIU)

Glucose → ***pfl-*, *ldh-*** → No Growth

## III. *FBR5* ferments glucose selectively to ethanol (Dr. Ingram, U.Fl.)

Glucose → **PET Operon** → Ethanol

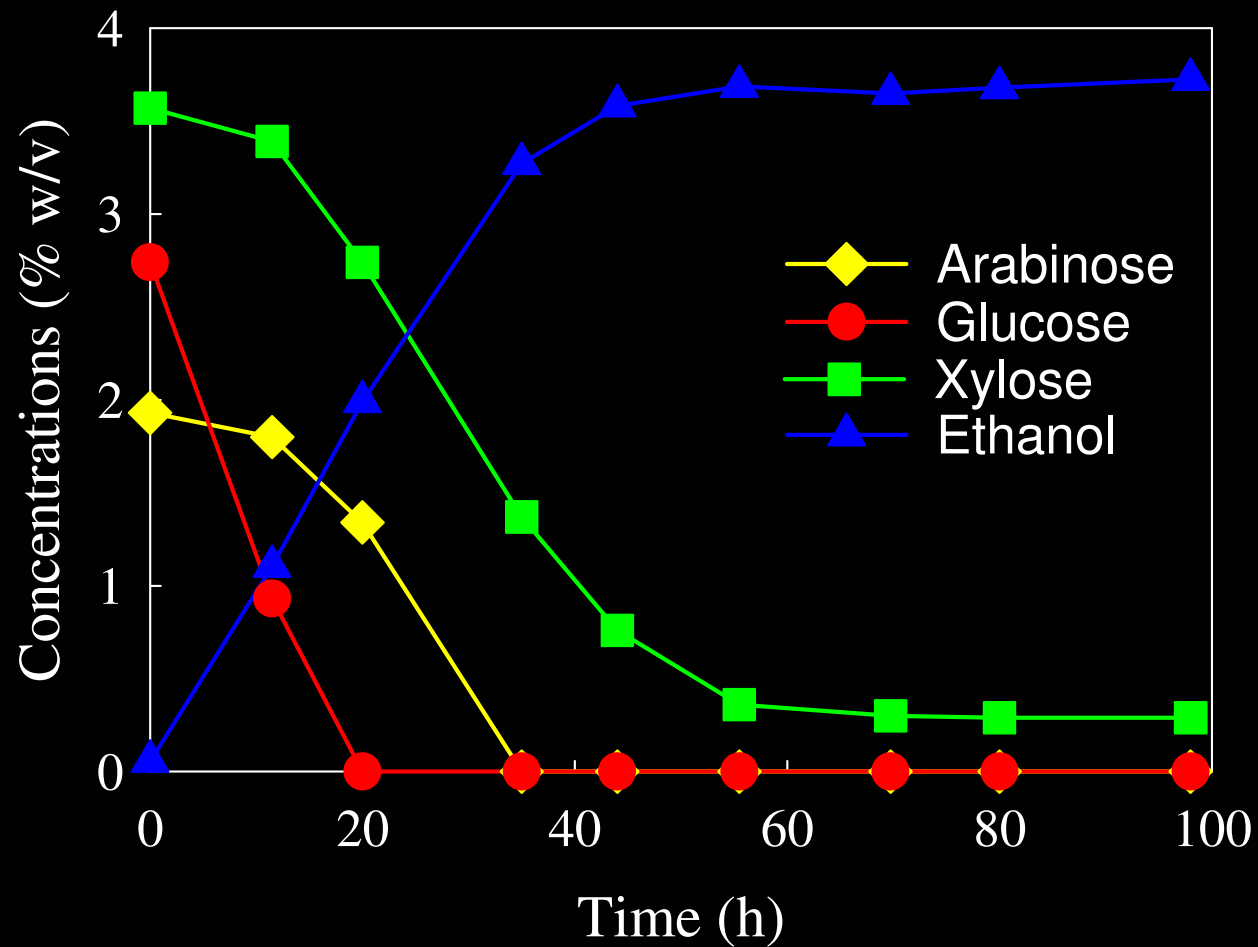
# Ethanol Production Restores Anaerobic Growth



*pfl-*, *ldh-*

+ *pet* genes

# Ethanol Fermentation Of Corn Fiber Hydrolysate by *E. coli* FBR5





# Fermenting Fibrous Components produced by Corn Milling

---

<u>Feed stock</u>	<u>Sugars</u> %w/v	<u>Max. Ethanol</u> %w/v	<u>Ethanol Yield</u> g/g	<u>Ethanol Prod.</u> g/l/h
DWG	3.98	2.12	0.49	0.71
Germ	4.15	2.19	0.50	0.56
Fiber	8.50	3.74	0.46	0.77

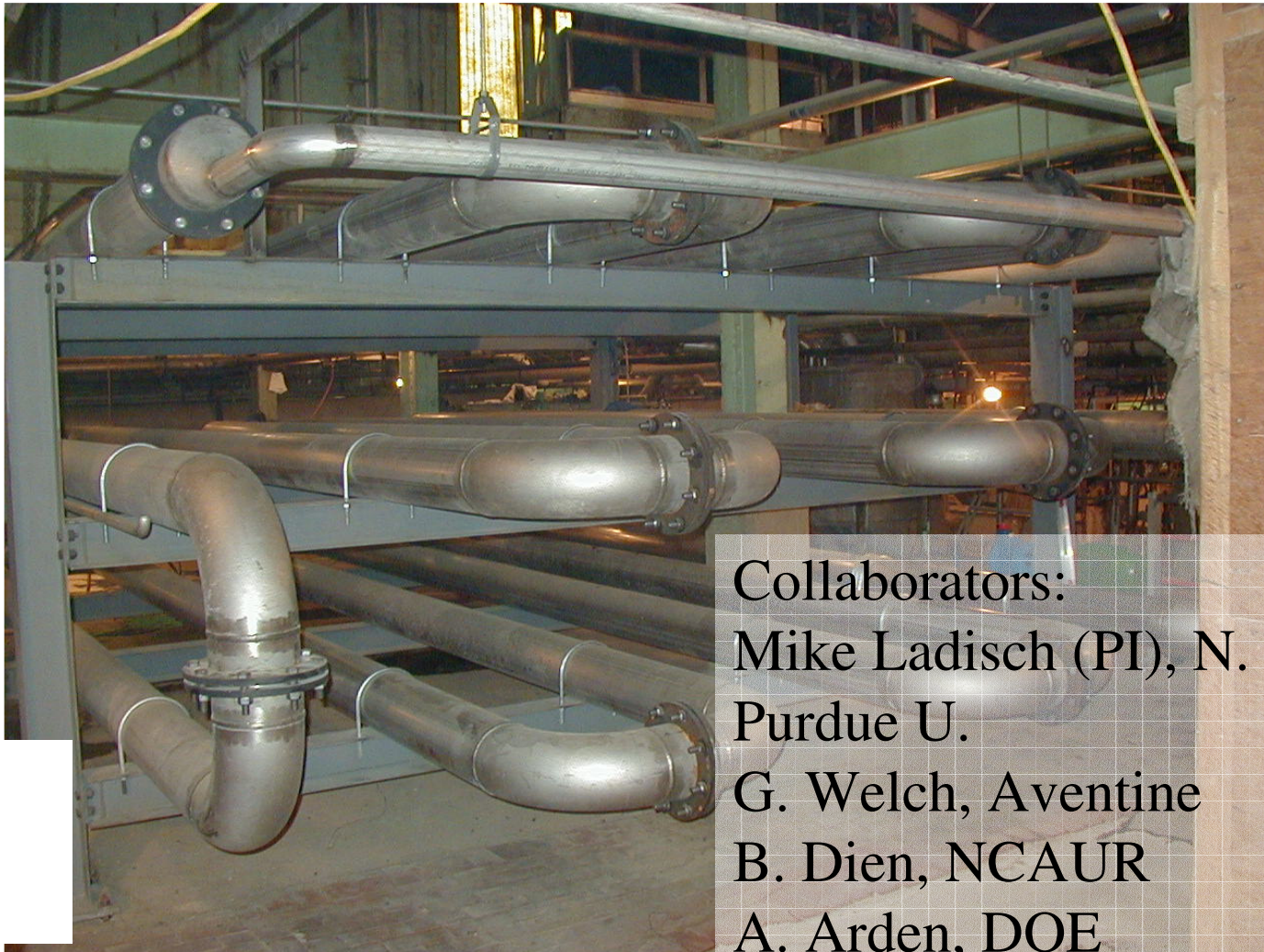
---

## Comparison of Laboratory Microorganisms for Fermenting Biomass Hydrolysates

<u>Pretreatment</u>	<u>Strain</u>	<u>Max</u> <u>ethanol</u> (g/l)	<u>Yield</u> <sup>1</sup> (g/g)	<u>Max.</u> <u>productivity</u> (g/l/hr)
Dilute acid	<i>E. coli</i> K011	34.7	0.41	1.16
Dilute acid	<i>E. coli</i> SL40	31.7	0.42	1.12
Dilute acid	<i>E. coli</i> FBR3	28.0	0.46	0.97
Dilute acid	<i>Zymomonas</i> CP4 (pZB5)	22.6	0.47	1.05
AFEX	<i>Saccharomyces</i> 1400 (pLNH32)	21.0	0.50	1.60

•grams ethanol per grams sugar consumed; maximum possible is 0.51 g/g.

A review of more current work:  
Buffered hot-water pretreatment of corn fiber  
demonstration at Aventine Bioenergy



Aventine  
Bioenergy  
Pekin, IL

Collaborators:  
Mike Ladisch (PI), N. Mosier,  
Purdue U.  
G. Welch, Aventine  
B. Dien, NCAUR  
A. Arden, DOE

# Purdue's Buffered hot-water pretreatment

## Advantages over dilute-acid:

- ❖ Lower capital costs
- ❖ Easy to integrate into process
- ❖ Maintain water-balance
- ❖ Does not generate gypsum
- ❖ Does not change color of corn gluten feed

## Disadvantages compared to dilute acid:

- ❖ Does not completely hydrolyze hemicellulose

Conclusion: existing plant concerns trumped pretreatment concerns.

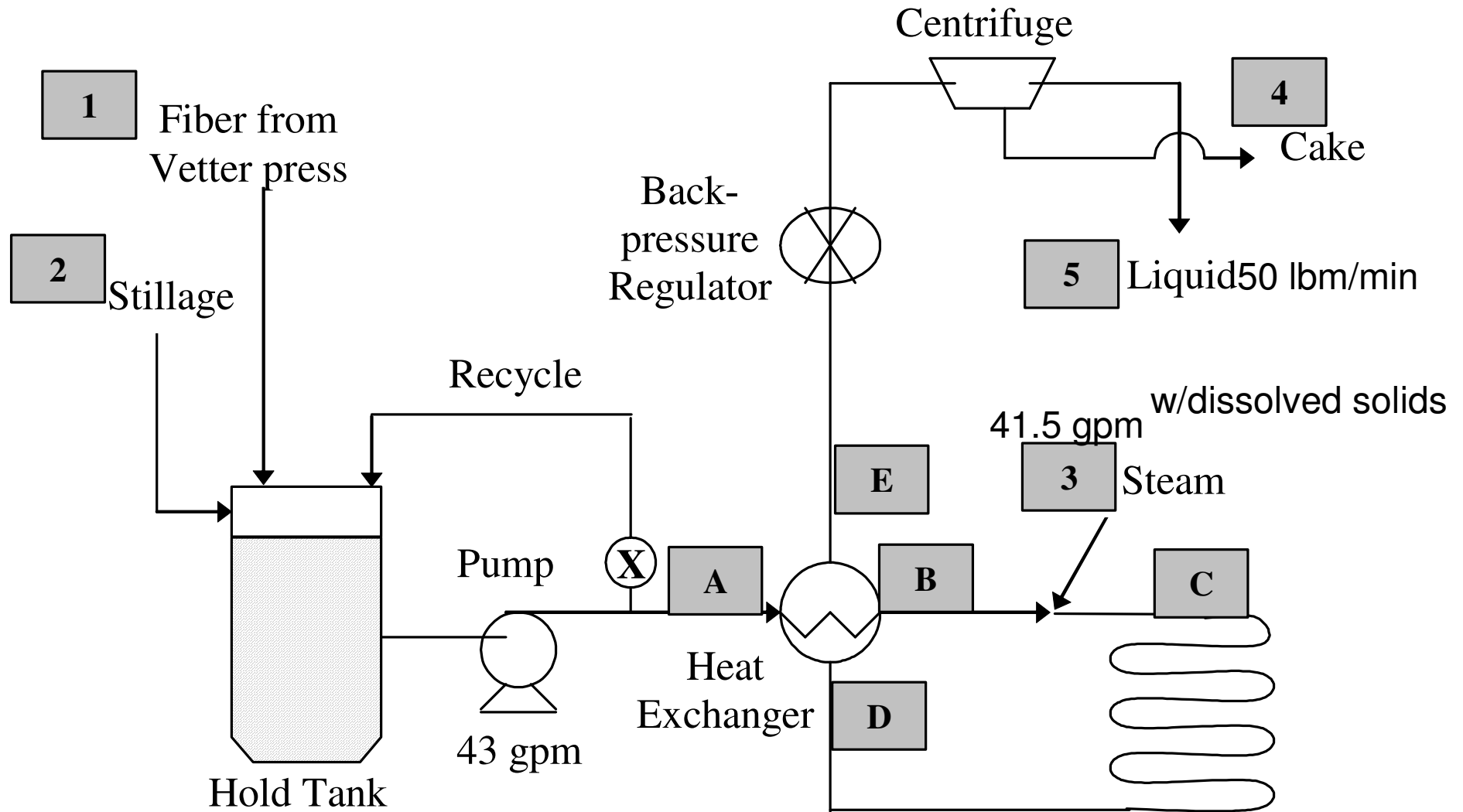


# Principles of Liquid Water Pretreatment

- a. Control (maintain) pH to prevent complete hydrolysis of the hemicellulose sugars – reduces formation of inhibitors
- b. Use high temperature (160 – 180°C) to ensure disruption of cell wall and swelling of cellulose fibers

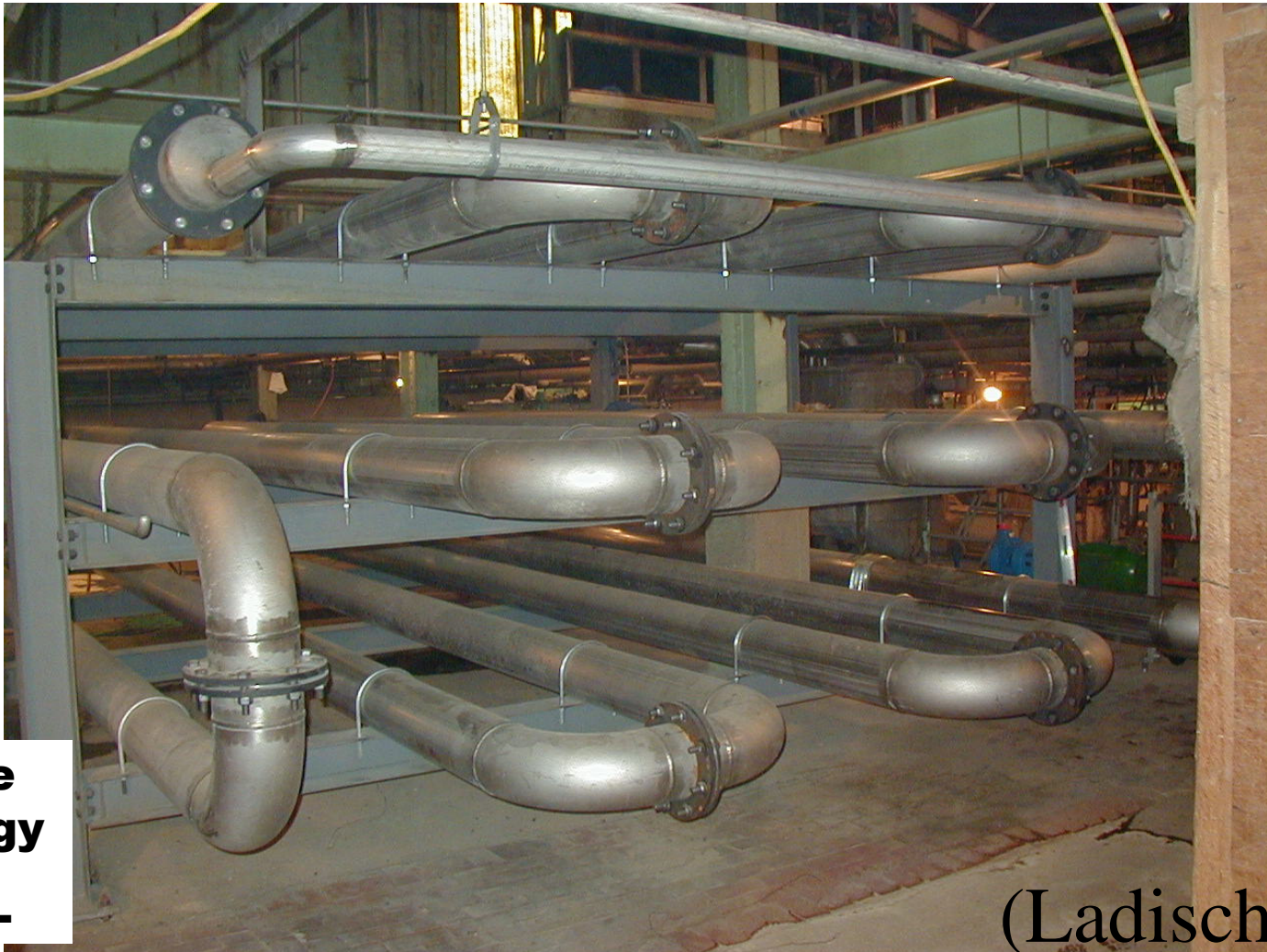
(Ladisich, et al.)

# Pretreatment Flow Diagram



(Ladisich, et al.)

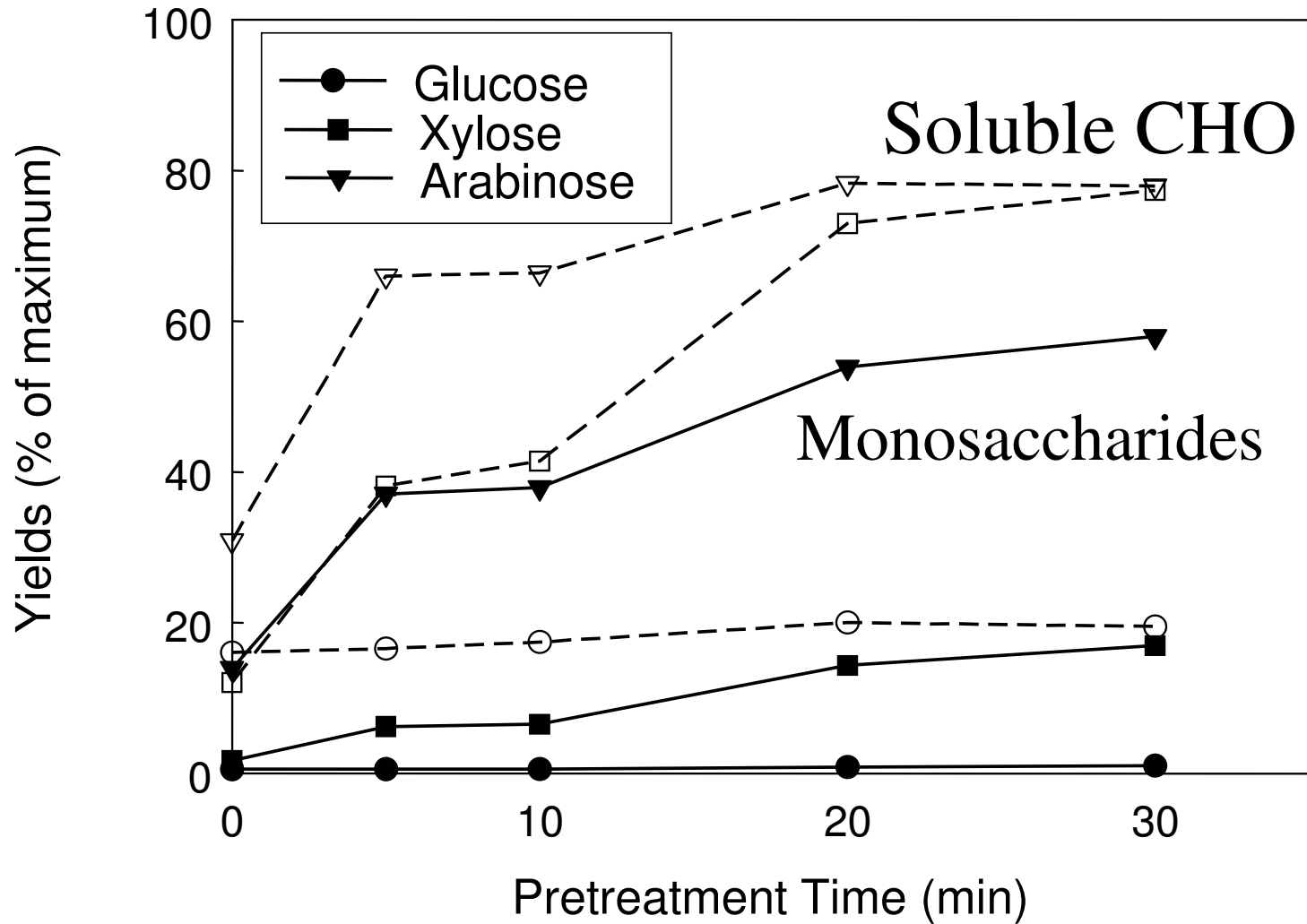
# “Snake-coil” Plug Flow Pretreatment Coil



**Aventine  
Bioenergy  
Pekin, IL**

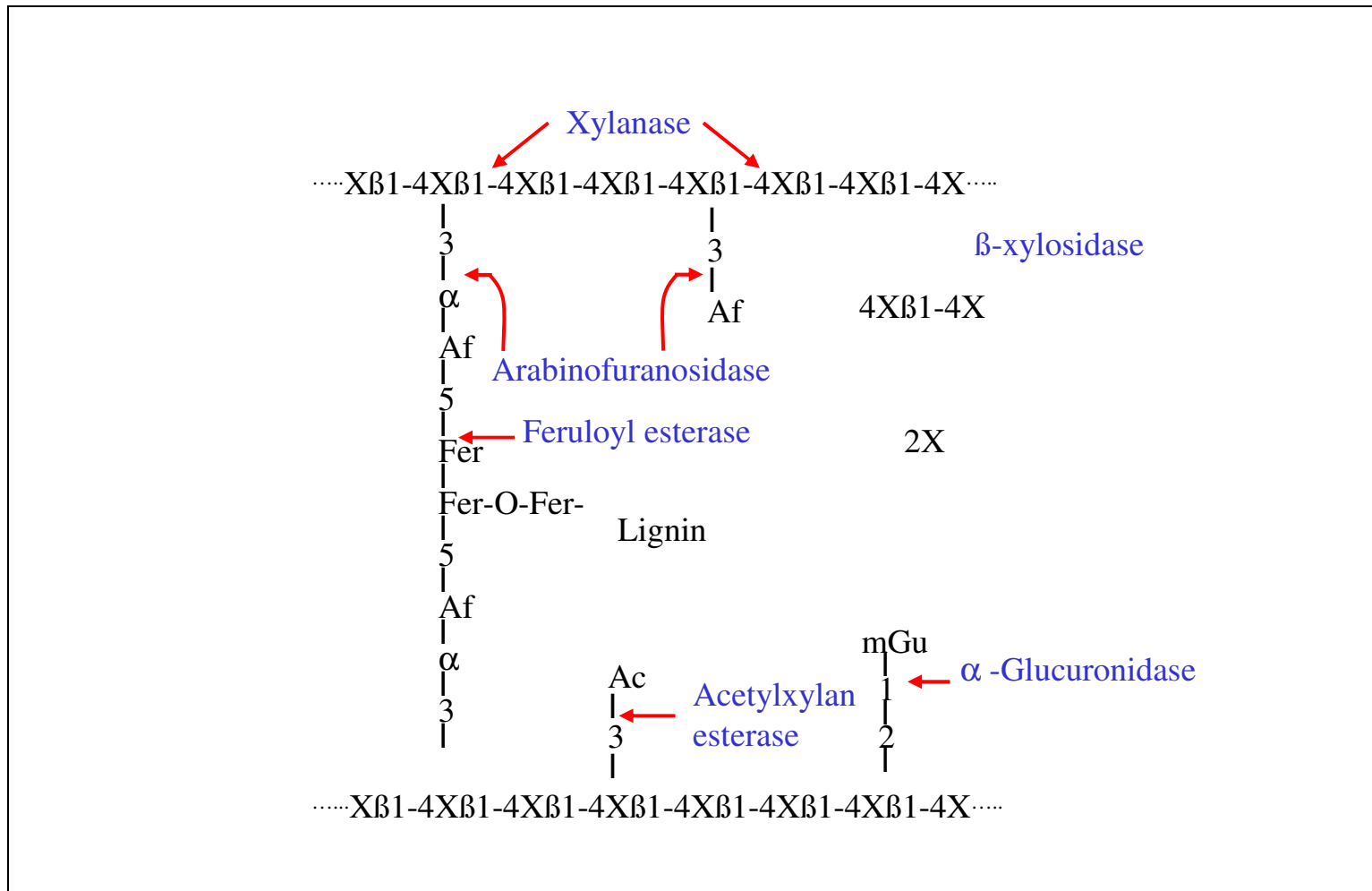
(Ladisich, et al.)

# Release of sugars from corn fiber when treated with hot-water



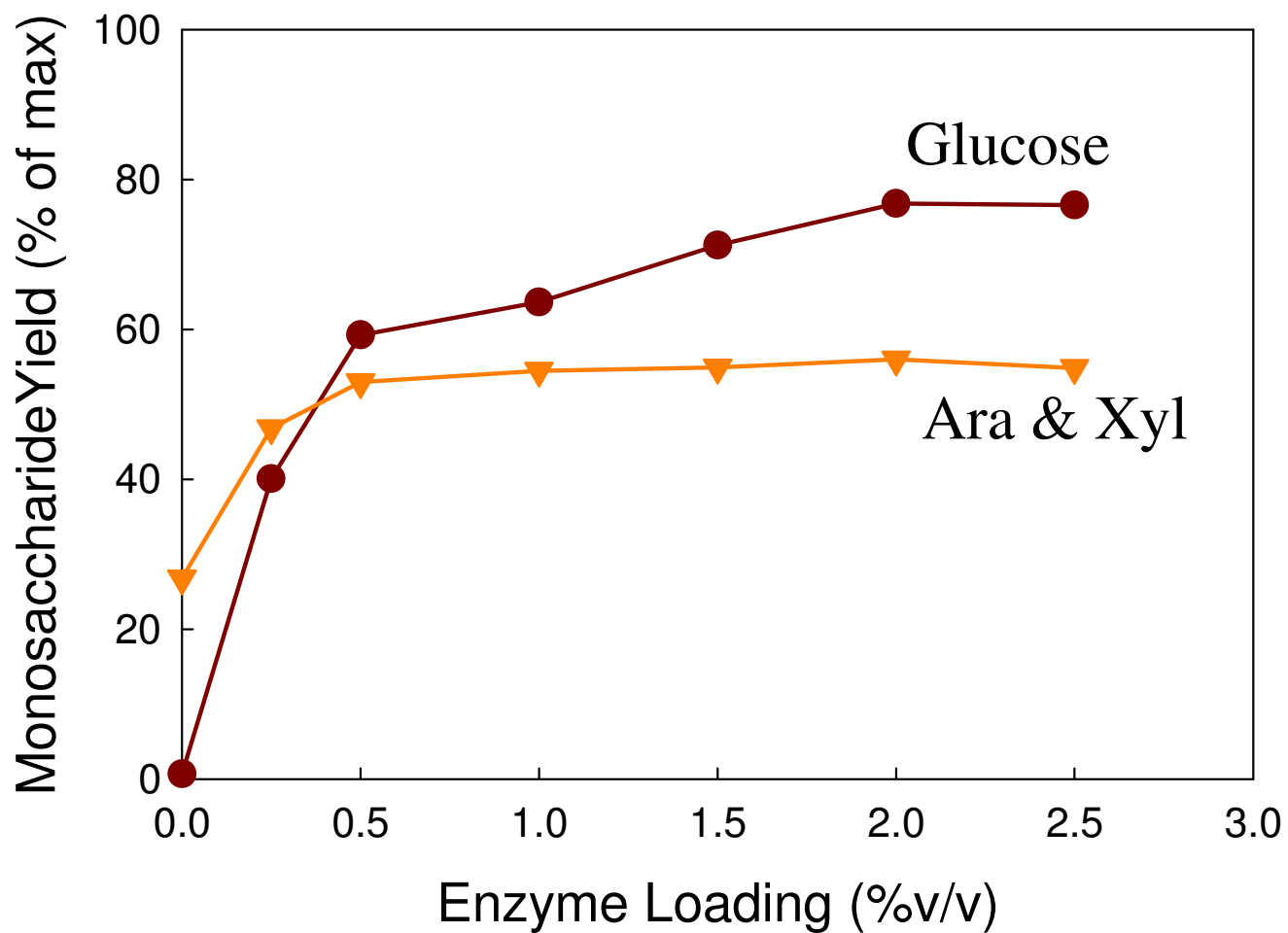


## Complex Mixture of Enzymes Needed to Degrade Arabinoxylan



Selinger et al., 1996

Digesting hot-water treated hot-water treated corn fiber  
w/ commercial enzyme



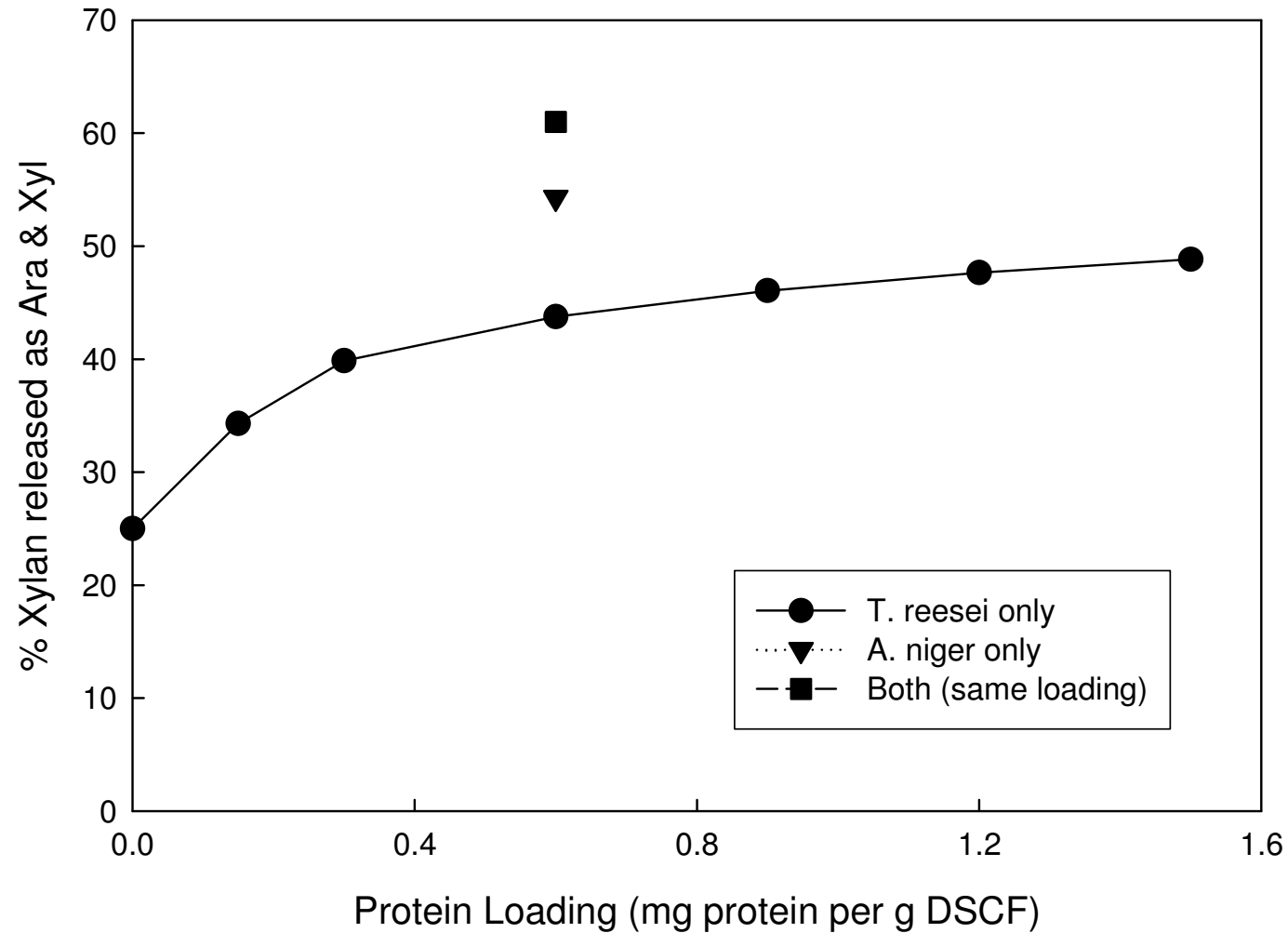
# Preparing custom enzyme preparations by culturing fungi on corn fiber

---

<u>Enzyme Preparation</u>	<u>Corn Fiber Pretreat.</u>	<u>Protein</u>	<u>Xylanase</u>	<u>Cellulase</u>	<u>FE Activity</u>
		mg/ml	U/ml	U/ml	uM/m/ml
<i>A. niger</i> 2001	HW	1.24	113.5	5.0	2.25
<i>A. niger</i> 2001	Untreated	0.49	72.2	4.8	1.15
<i>T. reesei</i> RUT C30	HW	1.35	64.4	7.0	nd
<i>T. reesei</i> RUT C30	Untreated	1.42	46.3	8.3	nd

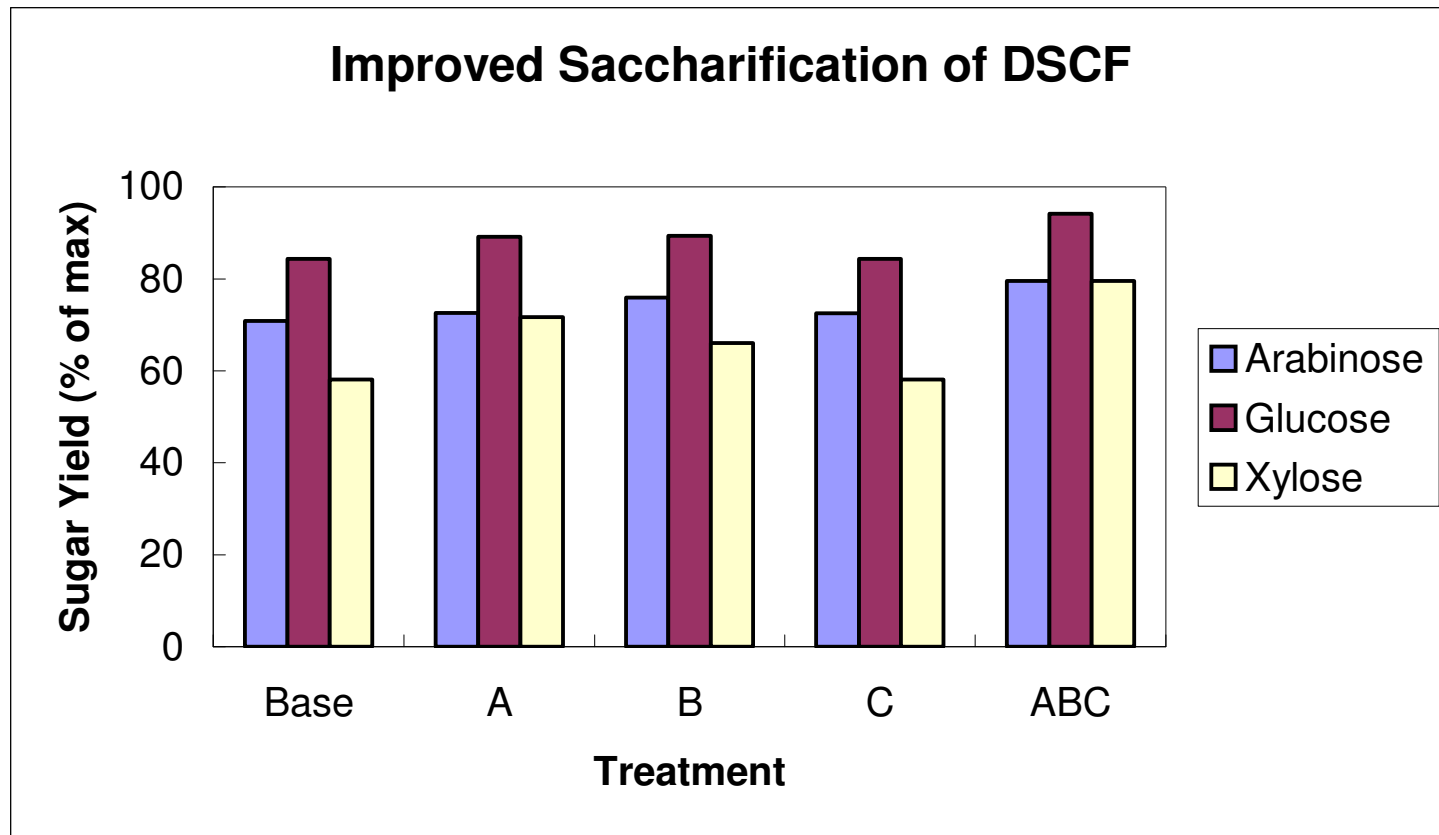
---

# Enzymatic treatment of hot-water treated corn fiber





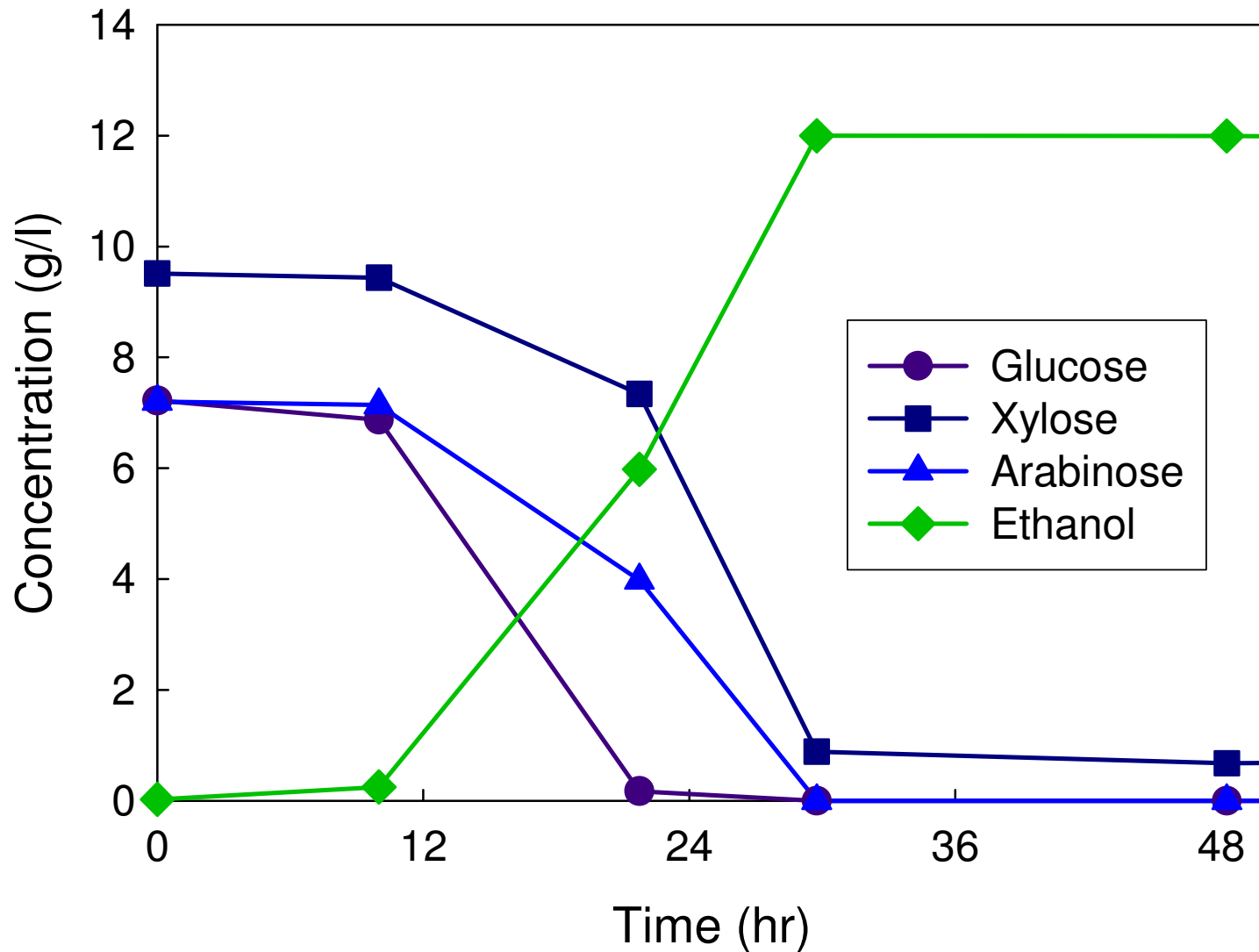
# Factorial Design to Optimize Sugar Yields



A = increase from 20' to 30' pretreatment;

B = glucohydrolyases; C = feruolyl esterase

# Fermentation of enzyme released sugars to ethanol



# Summary

- ❖ Ethanol yield from corn can be increased 10% by converting fibers from the germ and pericarp into ethanol.
- ❖ Corn fiber can be converted to ethanol by treating with dilute sulfuric acid and fermenting with ethanologenic *E. coli*.
- ❖ Corn fiber can also be converted by pretreating with hot-water, but further work is needed to develop more efficient hemicellulases

# Some Future Trends

- ❖ High-solids pretreatment of biomass
  - Lower chemical usage (e.g. acid or alkali)
  - Less energy required for heating
- ❖ High-solids saccharification or fed-batch SSF
  - More concentrated ethanol
  - Smaller unit operations
  - Possibility for using high-temperature enzymes

# Acknowledgments

From NCAUR:

Nancy Nichols

Rod Bothast

Patricia O'Bryan

Loren Iten

Xin Li

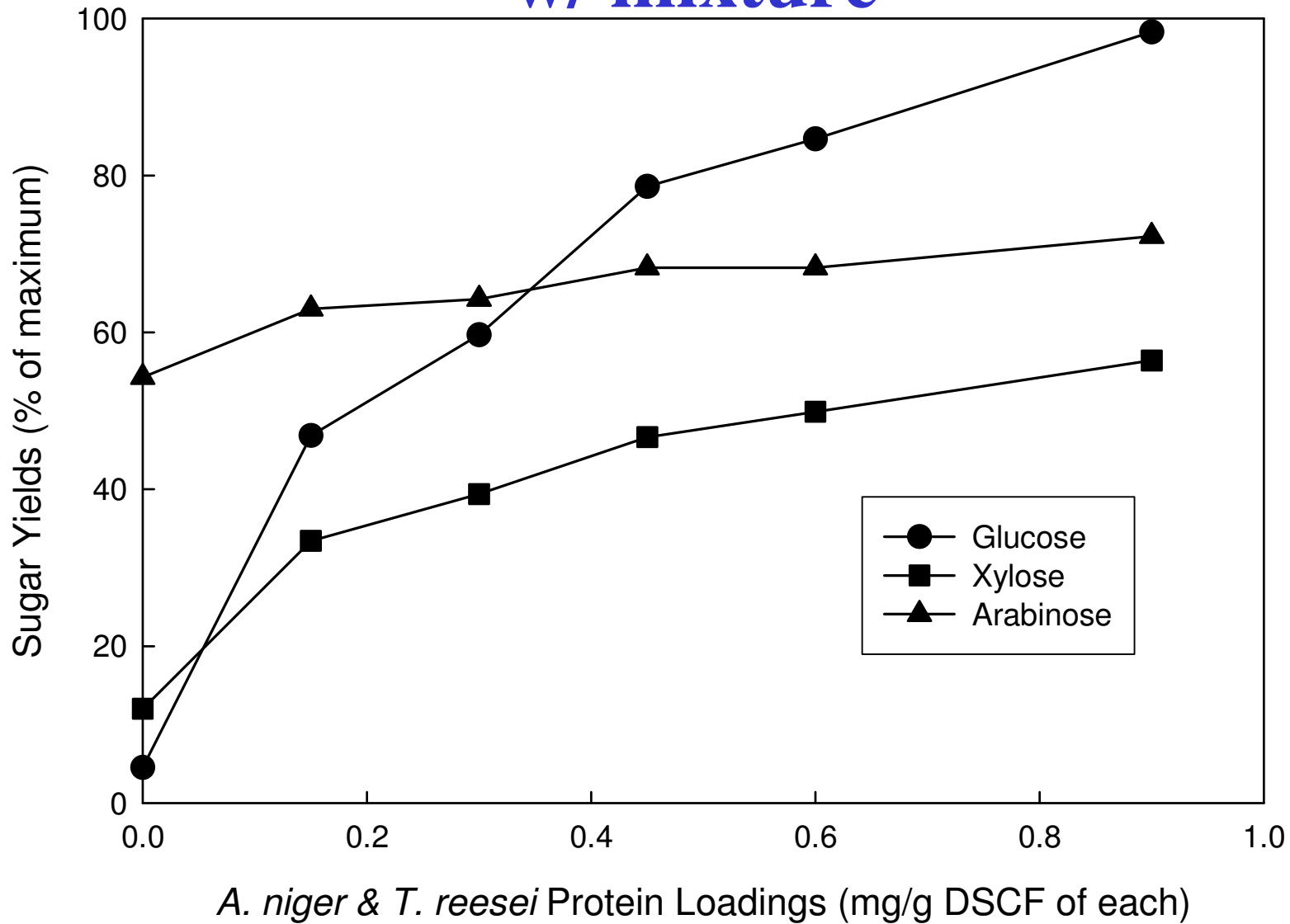
---

Aventine/Purdue/NCAUR project:

Gary Welch, Nathan Mosier, Rick Hendrickson, Rich Dreschel, Michael Ladisch, and Andy Aden

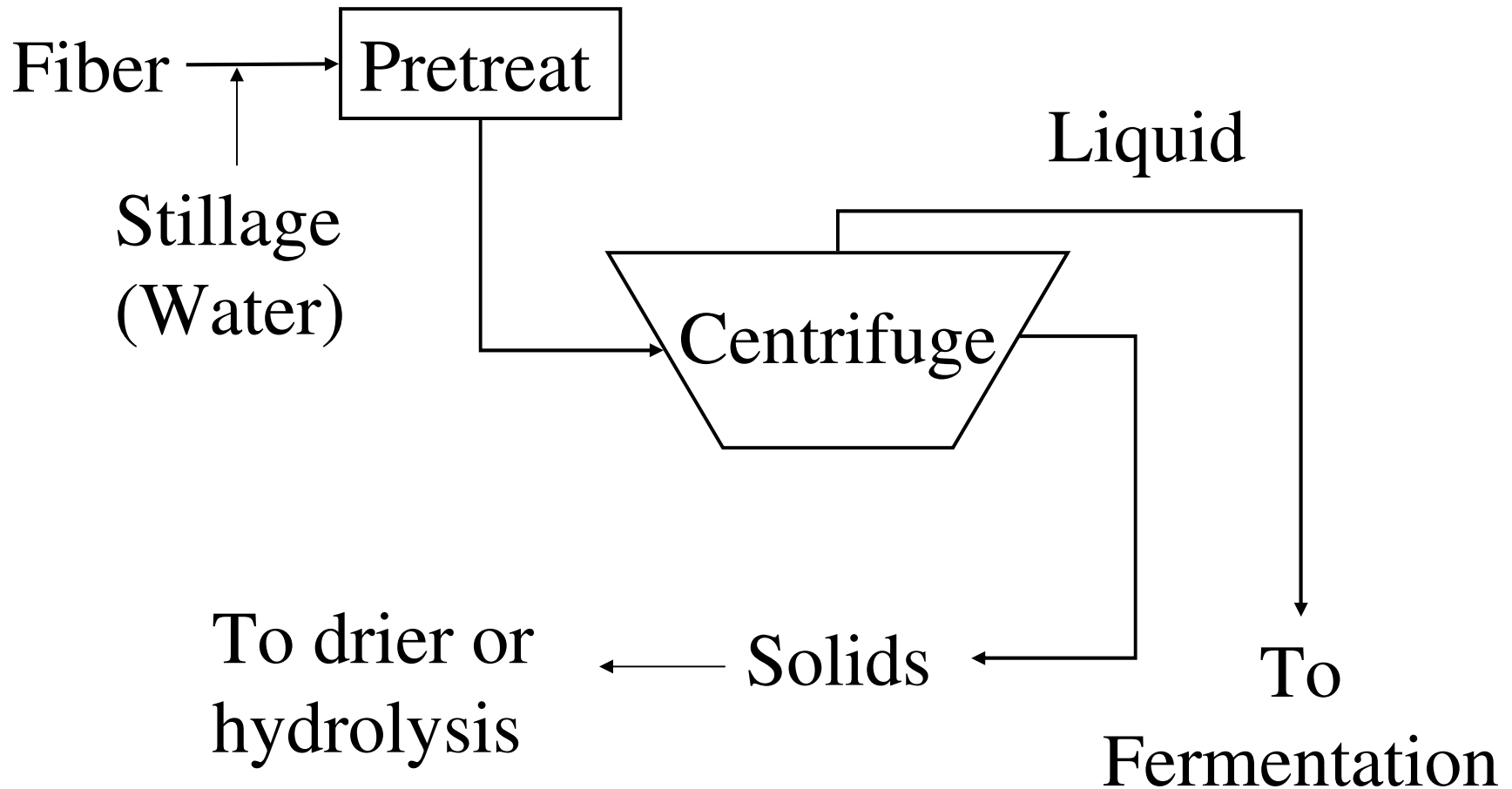


# Enzymatic treatment of HW-CF w/ mixture



# Process Description

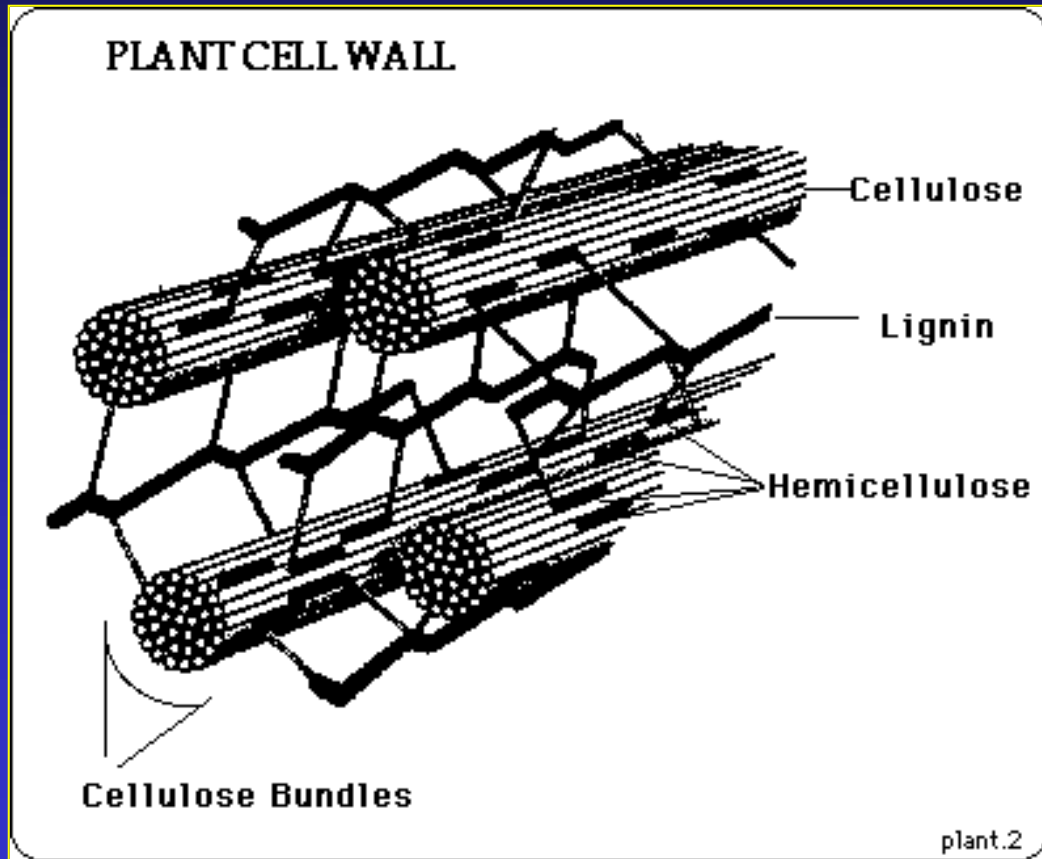
## Pretreat Fiber and Liquid/Solid Separation



(Ladisich, et al.)

# What is expected of a pretreatment?

*Allow cellulase access to cellulose polymers by disrupting cell wall structure*



- ✓ Dissolve Hemicellulose
- ✓ Displace Lignin
- ✓ Swell Cellulose Bundles

# Chemical Mechanisms

## Hemicellulose

Acid hydrolyzes, alkali dissolves, hot-water acts as weak acid

## Lignin

Molecular oxygen, ozone, peroxide break lignin ether bonds, alkali saponifies ferulic/arabinose ester bonds

## Cellulose

Ammonia disrupts H bonds, solvents & conc. acid dissolves cellulose polymer